

Zephyr ESG Analysis

Powered by OWL Analytics

Zephyr 
Informa Financial Intelligence

Evaluate your investments through our new ESG Ratings, Scores, Percentiles & Rankings

Research, screen, report & monitor Mutual Funds, ETFs, SMAs & Equities on numerous ESG metric ratings, scores, percentiles & rankings.

ESG Analysis includes:

- ▶ Comprehensive screening to identify best-in-class funds.
- ▶ Peer comparisons across strategies on numerous ESG metrics.
- ▶ Portfolio reporting to demonstrate to clients the Environmental, Social, Governance responsibility of their investments.
- ▶ Acquire new clients by integrating sustainability and responsibility into your business.
- ▶ Enhance your story building and create ESG focused marketing materials.

ESG Analysis to complement a full suite of analytics and reporting tools...

Coupled with Zephyr's trendsetting Presentation Center, you can include the full suite of Zephyr ESG, analytics and reporting tools to:

- ▶ Create fully customized pages & reports or choose from our robust pre-defined analysis.
- ▶ Provide your clients with a comprehensive, yet easy to interpret report, that uncovers their adherence to responsible ESG investing.
- ▶ Combine the new Zephyr ESG analysis with our powerful analytics to build a complete investment portfolio story.

ESG Screen Last modified 6 hours ago

Fields	Columns	Filters						
<ul style="list-style-type: none"> Morningstar Mutual Funds Information Performance Environmental-Social-Governance (ESG) <ul style="list-style-type: none"> Owl Rating (0-5) <ul style="list-style-type: none"> Board Effectiveness (Historical) Citizenship (Historical) Community & Charity (Historical) Compensation & Satisfaction (Historical) Disclosure & Accountability (Historical) Diversity & Rights (Historical) Education & Work Conditions (Historical) Employer (Historical) Environmental (Historical) Environmental Transparency (Historical) Environmental/Social Governance (Historical) Governance (Historical) 	<ul style="list-style-type: none"> c1 Environmental/Social/ Governance Latest Available c3 Citizenship Latest Available c5 Employer Latest Available c7 Human Rights Latest Available c2 Environmental/Social/ Governance Latest Available c4 Pollution Prevention Latest Available c6 Employer Latest Available c8 Diversity & Rights Latest Available c9 Management Ethics Latest Available c10 Governance Latest Available 	<ul style="list-style-type: none"> Environmental/Social/ Governance Latest Available is greater than 4 <ul style="list-style-type: none"> 2753 22088 2753 22088 Environmental/Social/ Governance Latest Available is greater than 75 <ul style="list-style-type: none"> 819 24022 819 24022 Citizenship Latest Available is greater than 4 <ul style="list-style-type: none"> 1510 23331 819 24022 Pollution Prevention Latest Available is greater than 4 <ul style="list-style-type: none"> 15025 8816 819 24022 Pollution Prevention Latest Available is greater than or equal to 5 <ul style="list-style-type: none"> 15025 8816 819 24022 						
Results <input type="text" value="Search..."/> All Passed 679								
FIRM	ASSET	ENVIRONMENTAL SOCIAL GOVERNANCE LATEST AVAILABLE	CITIZENSHIP LATEST AVAILABLE	EMPLOYER LATEST AVAILABLE	HUMAN RIGHTS LATEST AVAILABLE	ENVIRONMENTAL SOCIAL GOVERNANCE LATEST AVAILABLE ↑	POLLUTION PREVENTION LATEST AVAILABLE	EMPLOYE LATEST AVAIL
●	AdvisorOne Funds	AdvisorOne CLS Global Aggressive Eq N	5.00	5.00	5.00	5.00	75.70	90.76
●	AdvisorOne Funds	AdvisorOne CLS Global Aggressive Eq T	5.00	5.00	5.00	5.00	75.70	90.76
●	Astor	Astor Dynamic Allocation A	5.00	5.00	5.00	5.00	75.77	84.85
●	Astor	Astor Dynamic Allocation C	5.00	5.00	5.00	5.00	75.77	84.85
●	Astor	Astor Dynamic Allocation I	5.00	5.00	5.00	5.00	75.77	84.85

Evaluate your investments through our new ESG Ratings, Scores, Percentiles & Rankings

ESG Scorecard:

ESG Scorecard - Catalyst Buffered Shield Fund I
As of Jan 2021

Total ESG Rating  **Total ESG Score 57.61**

Environmental	Change m-o-m	Score	Social	Change m-o-m	Score	Governance	Change m-o-m	Score
Pollution Prevention	↑	60.00	Citizenship	↑	48.33	Board Effectiveness	↑	68.00
Environmental Transparency	↑	64.00	Community & Charity	↑	54.00	Management Ethics	↑	57.00
Resource Efficiency	↑	48.00	Human Rights	↑	53.00	Disclosure & Accountability	↑	60.00
		-	Sustainability Integration	↓	38.00			-
		-	Employer	↑	59.33			-
		-	Compensation & Satisfaction	↑	61.00			-
		-	Diversity & Rights	↑	59.00			-
		-	Education & Work Conditions	↑	58.00			-
Total	↑	57.33		↑	53.83		↑	61.67
Universe median	↑	53.00		↑	53.83		↓	45.33

Universe = Options-based Universe

ESG Snapshot:

Fund-ESG Snapshot-Catalyst Buffered Shield Fund I

As of January 2021

May 10, 2021 | 1/1

ESG Ratings

	CATALYST BUFFERED SHIELD FUND I
Total ESG	
Environmental	
Social	
Governance	
Pollution Prevention	
Environmental Transparency	
Resource Efficiency	
Citizenship	
Community & Charity	
Human Rights	
Sustainability Integration	
Employer	
Compensation & Satisfaction	
Diversity & Rights	

ESG KPI Scores - Catalyst Buffered Shield Fund I



ESG Scorecard - Catalyst Buffered Shield Fund I

Total ESG Rating  **Total ESG Score 57.61**

Environmental	Change m-o-m	Score	Social	Change m-o-m	Score	Governance	Change m-o-m	Score
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Environmental Transparency	↑	64.00	Community & Charity	↑	54.00	Management Ethics	↑	57.00
Resource Efficiency	↑	48.00	Human Rights	↑	53.00	Disclosure & Accountability	↑	60.00
		-	Sustainability Integration	↓	38.00			-
		-	Employer	↑	59.33			-
		-	Compensation & Satisfaction	↑	61.00			-
		-	Diversity & Rights	↑	59.00			-
		-	Education & Work Conditions	↑	58.00			-
Total	↑	57.33		↑	53.83		↑	61.67
Universe median	-	54.10		-	52.44		-	55.52

Universe = Mid-Cap Value Universe